PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR

CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

ellection of information unless it displays a valid OMB control number.					
Application Number	09/255,777				
Filing Date	February 23, 1999				
First Named Inventor	Shunpei YAMAZAKI et al.				
Group Art Unit	2812				
Examiner Name	R. Booth				
Attorney Docket Number	740756-1936				

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

			.,								
1. Submission required under 37 C.F.R. § 1.114											
	a.		Previ	ously submitted							
		i.		onsider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on							
· .	-	ii.		Consider the arguments in the Appeal Brief or Reply Brief previously filed on							
		iii.		Other							
	b.	X	Enclo	osed							
		i.	X	Amendment/Reply							
		ii.		Affidavit(s)/Declaration(s)							
		iii.	X	Information Disclosure Statement (IDS)							
		iv.	X	Other Submission of Substitute Declaration, Application Data Sheet							
2.	2. Miscellaneous										
	a.			ension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of three							
		_		hs. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(1) required)							
	b.		Other								
3.	Fe	es	The	RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.							
	a.	×	The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-2380.								
		i.	X	RCE fee required under 37 C.F.R. § 1.17(e)							
		ii.		Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)							
		iii.		Other							
	b.	X	Chec	n the amount of \$1,154.00 enclosed							
	c.		Paym	ent by credit card (Form PTO-2038 enclosed)							
				SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED							
Na	me	(Prin	t/Type) Jeffrey L Mostellia Registration No. (Attorney/Agent) 35,483							
Sig	gnat	иге		Date January 25, 2001							
CERTIFICATE OF MAILING OR TRANSMISSION											
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:											
Na	me	(Prin	t/Type	· · · · · · · · · · · · · · · · · · ·							
Sig	mat	ure		Date							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

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710.00 OP

NVA29624.1

54.00 OP

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Date

January 25, 2001

Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete: 6 K-2000. FEE TRANSMITTAL February 23, 1999 Shunpei YAMAZAKI et al. Filing Date First Named Inventor **FOR FY 2001** R. Booth Examiner Name Patent fees are subject to annual revision. Group Art Unit 2812 740756-1936

TOTAL AMOUNT OF PAYMENT \$1,154.00	Titterney 20		1,10,00			
METHOD OF BAVMENT	FEE CALCULATION (continued)					
METHOD OF PAYMENT	3. ADDITIONAL FEES					
1. X The Commissioner is hereby authorized to charge indicated		Small Entity				
fees and credit any overpayments to: Deposit	Fee Fee	Fee Fee				
Account 19-2380	Code (\$)	Code (\$)	Fee Description Fee Paid			
Number	105 130	205 65	Surcharge - late filing fee or oath			
Deposit	127 50	227 25	Surcharge - late provisional filing fee or cover			
Account NIXON PEABODY LLP	*		sheet			
Name	139 130	139 130	Non-English transaction			
Charge Any Additional Fee Required	147 2,520	147 2,520	For filing a request for ex parte reexamination			
Under 37 CFR 1.16 and 1.17	112 920*	112 920*	Requesting publication of SIR prior to Examiner			
Applicant claims small entity status.	113 1,840*	1131,840*	action Requesting publication of SIR after Examiner			
See 37 CFR 1.27		1151,040	action			
2. Payment Enclosed:	115 110	215 55	Extension for reply within first month			
☑ Check ☐ Credit Card ☐ Money ☐ Other	116 390	216 195	Extension for reply within second month	\$390.00		
Order	117 890	217 445	Extension for reply within third month			
FEE CALCULATION	118 1,390	218 695	Extension for reply within fourth month			
1. BASIC FILING FEE	128 1,890	228 945	Extension for reply within fifth month	•		
Large Entity Small Entity Fee Fee Fee Fee Description	119 310	219 155	Notice of Appeal			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	120 310	220 155	Filing a brief in support of an appeal			
101 710 201 355 Utility filing fee	121 270	221 135	Request for oral hearing			
106 320 206 160 Design filing fee	138 1,510	138 1,510	Petition to institute a public use proceeding			
107 490 207 245 Plant filing fee	140 110	240 55	Petition to revive - unavoidable			
	141 1,240	241 620	Petition to revive – unintentional	—		
108 710 208 355 Reissue filing fee	142 1,240	242 620	Utility issue fee (or reissue)	13		
114 150 214 75 Provisional filing fee	143 440	243 220	Design issue fee			
SUBTOTAL (1)	144 600	244 300	Plant issue fee			
2, EXTRA CLAIM FEES	122 130	122 130	Petitions to the Commissioner	 		
Fee from	123 50	123 50	Petitions related to provisional applications			
Extra Claims below Fee Paid		126 240	Subminion - Sinformation Directorum Stant			
Total Claims 62 -59** = 3 X \$18 = \$54.00	126 240	126 240	Submission of information Disclosure Stmt			
Independent 12 -12** = 0 X \$80 =	581 40	581 40	Recording each patent assignment per property			
Claims Multiple Dependent = = = = = = = = = = = = = = = = = = =	146710	246 355	(times number of properties) Filing a submission after final rejection (37 CFR	-		
Withtiple Dependent	1.1.40	2,0 , 555 ,	§ 1.129(a))			
Large Entity Small Entity	149 710	249 355	For each additional invention to be examined (37			
Fee Fee Fee Fee Description Code (\$) Code (\$)	179 710	249 355	CFR § 1.29(b)) Request for Continued Examination (RCE)	\$710.00		
	169 900	169 900	Request for expedited examination of a design			
103 18 203 9 Claims in excess of 20			application			
102 80 202 40 Independent claims in excess of 3	Other fee (spe	cify)				
104 270 204 135 Multiple dependent claim, if not paid						
109 80 209 40 ** Reissue independent claims over	• Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$710.00					
original patent			L			
SUBTOTAL (2) \$54.00						
**or number previously paid, if greater; For Reissues, see above						
	Complete Complete III					
SUBMITTED BY	Registration	No I	Complete (if applicable)			
Name (Print/Type) Jeffrey L. Costellia '		35	.483 Telephone (703) 790-9	110		

Signature